



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,439	04/18/2001	Noriaki Nishi	450100-03136

CONFIRMATION NO. 3371

## FORMALITIES LETTER



\*OC000000006202662\*

William S. Frommer, Esq.  
FROMMER LAWRENCE & HAUG LLP  
745 Fifth Avenue  
New York, NY 10151

Date Mailed: 06/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

SERIAL/FILE NUMBER 00000011 09837439

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PATENT  
450100-03136

SECRET #A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Noriaki NISHI  
Serial No. : 09/837,439  
Filed : April 18, 2001  
For : ANAMORPHIC PRISM, OPTICAL HEAD AND OPTICAL  
RECORDING/REPRODUCTION DEVICE  
Art Unit : 2651

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being  
deposited with the United States Postal Service as  
first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on August 31, 2001

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or  
Registered Representative

Signature

August 31, 2001

Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File  
Missing Parts of Application" (form PTO-1533) dated June 20, 2001  
on the above application, a Declaration duly signed by the  
inventor, and a check in the amount of the required \$130.00  
surcharge plus \$110.00 for an extension of time. By separate  
cover, we are filing the Assignment and the \$40.00 fee for  
recording same.


09/08/2001 09:00:00 09037439 110.00 OP

This response is being filed within the first month following the expiration of the term originally set therefor. This is a petition to request a one-month extension of time. A check covering the cost of the petition is enclosed. Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

By:   
Glenn F. Savit  
Reg. No. 37,437  
Tel. (212) 588-0800

Enclosure